Common Stock(1)

Common Stock(1)

Common Stock(1)

Common Stock(1)

Common Stock<sup>(1)</sup>

Common Stock<sup>(1)</sup>

Common Stock<sup>(4)</sup>

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washing

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

gron, D.C. 20549	OMB APPROVAL

ı										
I	OMB Number:	3235-0287								
I	Estimated average burden									
ı	hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					· ,								
SLATTERY JOSEPH P  (Last) (First) (Middle)				Susuer Name and Ticker or Trading Symbol TRANSENTERIX INC. [TRXC]      Date of Earliest Transaction (Month/Day/Year) 10/01/2018							ationship of Reporting Person(s) to Issuer k all applicable)		
											Director	10% C	-
											Officer (give title below)  EVP and CFO		
				4. If Am	nendment, Date of	Original	Filed	(Month/Day/Y	ear)		vidual or Joint/Group	Filing (Check A	pplicable
(Street) MORRISVILLE NO	С	27560								Line)	Form filed by One Form filed by Mor Person	, ,	
(City) (Si	tate)	(Zip)									. 6.66		
	Tab	le I - Nor	n-Deriva	tive S	ecurities Acq	uired,	Dis	oosed of, o	or Bene	eficially	Owned		
Da		2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock <sup>(1)</sup>			10/01/2	2018		M		11,951	A	\$1.42	11,951	D	
Common Stock <sup>(1)</sup>			10/01/2	2018		M		9,375	A	\$1.53	21,326	D	
Common Stock <sup>(1)</sup>			10/01/2	2018		M		6,250	A	\$2.45	27,576	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

М

M

M

S

M

S

11,250

13,750

3,575

56,151

8,500

8,500

A

A

A

D

Α

D

\$2.94

\$3.82

\$4.02

\$5.73(2)

\$1.42

**\$5.77**<sup>(3)</sup>

38,826

52,576

56,151

0

8,500

0

25,000

D

D

D

D

D

D

Ι

By IRA

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) ( Disp of (I	umber vative urities uired or oosed O) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option <sup>(1)</sup>	\$1.42	10/01/2018		M			11,951	(5)	02/02/2027	Common Stock	11,951	\$0.00	245,334	D	
Stock Option <sup>(1)</sup>	\$1.53	10/01/2018		M			9,375	(5)	10/25/2026	Common Stock	9,375	\$0.00	78,125	D	
Stock Option <sup>(1)</sup>	\$2.45	10/01/2018		М			6,250	(5)	10/28/2025	Common Stock	6,250	\$0.00	27,084	D	
Stock Option <sup>(1)</sup>	\$2.94	10/01/2018		М			11,250	(5)	02/04/2025	Common Stock	11,250	\$0.00	28,126	D	
Stock Option <sup>(1)</sup>	\$3.82	10/01/2018		М			13,750	(5)	02/12/2026	Common Stock	13,750	\$0.00	116,875	D	
Stock Option <sup>(1)</sup>	\$4.02	10/01/2018		М			3,575	(6)	04/21/2024	Common Stock	3,575	\$0.00	461,426	D	
Stock Option <sup>(1)</sup>	\$1.42	10/02/2018		M			8,500	(5)	02/02/2027	Common Stock	8,500	\$0.00	236,834	D	

#### **Explanation of Responses:**

10/01/2018

10/01/2018

10/01/2018

10/01/2018

10/02/2018

10/02/2018

<sup>1.</sup> The transaction occurred pursuant to a written trading plan dated November 29, 2017 meeting the requirements of Rule 10b5-1(c).

<sup>2.</sup> The sale price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.64 to \$5.805 inclusive. The reporting person undertakes to provide to TransEnterix, Inc., any security holder of TransEnterix, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.

- 3. The sale price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.75 to \$5.78. inclusive. The reporting person undertakes to provide to TransEnterix, Inc., any security holder of TransEnterix, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.
- 4. Following the sales on October 1, 2018, the reporting person continues to beneficially own 25,000 shares of the company's common stock. The reporting person also holds stock options to acquire 1,749,470 shares of common stock, of which approximately 26% are vested, and restricted stock units representing an additional 595,777 shares of common stock subject to forfeiture restrictions.
- 5. Vests 25% on the first anniversary of the date of grant and 1/48th of the entire award monthly on the vesting date anniversary for 36 months, subject to acceleration as set forth in the Incentive Plan and the reporting person's Employment Agreement with the Company.

6. Fully vested.

## Remarks:

/s/ Joshua Weingard, as Attorney-in-Fact for Joseph 10/03/2018 Slattery

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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